Mariner ADVISOR NETWORK

A Common Bond of Excellence. Boundless Opportunities.

The Mariner Advisor Network is dedicated to serving the needs of an elite community of independent advisors. United by a common desire to elevate their practices, your advisors partner with us in the pursuit of growth, efficiency and overall business strategies. Our service model includes six areas of focus to help you effectively build and support your thriving business.

Business Development

- Business continuity, succession and sale/acquisition planning and support
- Independent, corporate and hybrid affiliations with competitive compensation
- Financial transition assistance to grow your business
- Staff onboarding and new hire support
- Consulting and oversight for practice acquisition, mergers and succession

Business Consulting

- 100% of your business is owned and operated by you
- Practice management and business consulting by Kolbe Certified™ Consultants
- Options for revenue sharing with CPAs and attorneys, and partnerships with local banks and credit unions
- Software consulting, selection, implementation and data integration and technology
- Strategic marketing consulting to help you grow your business, strengthen brand recognition and deepen client relationships

Virtual Administration & Paraplanning

- Account opening and maintenance and data entry
- Client relationship management and scheduling
- Financial plan creation and complicated scenario modeling
- Email, fax and phone support
- Daily compliance tasks and audit preparation
- Implementation and ongoing maintenance of online presence
- Event coordination and special project support

Compliance & Operations

- Dedicated OSJ delegate assigned to your office
- Comprehensive support to maintain compliant office and files
- Advisor advocacy, escalation assistance and problem resolution
- Approval of paperwork and new business
- In-house Chief Compliance Officer to resolve escalated or urgent matters
- Monitoring of OSJ tasks and assistance with branch exam

Portfolio Consulting

- Thought leadership on portfolio construction and investment selection
- Portfolio implementation, rebalancing, analytics and reporting
- Trade execution and tax harvesting
- Custom stock portfolios and mutual fund/ETF models
- Relationship management of high-net-worth clients

Advisory Solutions

- A trust team who partners with you to design and review trusts
- Access to in-house tax professionals who work with you to offer clients proactive tax strategies and year-round planning
- Access to risk management professionals who help ensure your clients' insurance coverage aligns with their needs
- Collaborate with experienced M&A professionals who provide advice on investment banking, valuation, forensic accounting and succession planning
- Access our retirement team to advise business clients on their retirement plan

Individual Payout Grid for RIA Only Affiliation

The Network RIA Only Advisor

Annual Gross Revenue	Fidelity, Schwab, Pershing & LPL
$2,000,000 +	97%
$1,500,000 - $2,000,000	96%
$1,000,000 - $1,500,000	95%
$750,000 - $1,000,000	94%
$500,000 - $750,000	93%
$250,000 - $500,000	92%
$150,000 - $250,000	91%

Pricing	Select	Select+
Advisor Revenue	MPS Revenue Share (%)	MPS Revenue Share (%)
$10,000,000+	10%	10%
$7,500,000-$10,000,000	10%	12%
$5,000,000-$7,500,000	12%	16%
$3,000,000-$5,000,000	14%	20%
$1,500,000-$3,000,000	17%	25%
$150,000-$1,500,000	20%	30%

No BD affiliation. If clearing through Schwab, Pershing and/or Fidelity, custom technology solutions are required and customized pricing through The Network to include compliance, billing and performance reporting. The above payout grid is tiered, so all revenue is paid at the highest qualified tier per agreement; pricing for Select/Select+ is progressive. The Network has a $2,500 annual alliance fee per affiliated advisor. Financial planning fees are paid at qualifying tier. Additional costs may apply including E&O insurance, technology, billing, etc.

Utilize Our Bundled Services to Power Your Practice

From core services and add-ons to complete access, choose the level of platform support you need to power your practice and gain a competitive edge.

Select

The foundation of the offering.

- Transition Support
- Dedicated Relationship Manager
- Proposal Generation
- Financial Plan Creation
- Advisory Solutions
  - Trust Solutions
  - ibanking & Business Valuation Services
  - Insurance Solutions
  - Tax Planning & Preparation
  - Investments
  - 401(k) - Corporate Retirement Plans
- Practice Management
- Regulatory Compliance
- Back-Office Operations
- Technology
- Marketing Support

Select +

You receive everything under Select as well as the highlighted additional services.

- Dedicated household-level portfolio management service
- Customized portfolio solutions
- In-house investment team acts as an extension to wealth team
- Investments- full platform capabilities
- Client Service Support

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